

Docket No.: 42390P19172X3

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

COATES ET AL

Application No.: 09/753221

Filed: December 29, 2000

For: A DISTRIBUTED STORAGE CLUSTER

ARCHITECTURE

Art Group: 2142

Examiner: Prieto, Beatriz

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted within three (3) months of filing of the application. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Some or all of the references listed on the enclosed PTO/SB/08 were previously identified in the parent application (Application No. 09/695,499, filed October 23, 2000) and copies of the references were furnished at that time. Accordingly, per 37 CFR §1.98(d)(1) additional copies of those references are not submitted herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted.

BLAKELY, SOKOLOFF, MAYLOR & ZAFMAN LLP

Date: January 31, 2005

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025

Telephone: (503) 439-8778

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kristin A. Morrow

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Sheet 1 of

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		Complete if Known	
	Application Number	09/753221	
	Filing Date	December 29, 2000	
	First Named Inventor	Coates et al	
	Art Unit	2142	
_	Examiner Name	Prieto, Beatriz	
	Attorney Docket Number	42390P19172X3	

U.S. PATENT DOCUMENTS								
Examiner Initiats* Cite No.¹ Number - Kind Code² (if known)		Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
_	1	US-6,553,389 B1	04-22-2003	Golding et al.				
	2	US-6,236,999 B1	05-22-2001	Jacobs et al.				
	3	US-5,937,406	08-10-1999	Balabine et al.				
	4	US-6,327,614 B1	12-04-2001	Asano et al.				
	5	US-6,553,376 B1	04-22-2003	Lewis et al.				
	6	US-6,314,465 B1	11-06-2001	Paul et al.				
	7	US-6,263,402 B1	07-17-2001	Ronstrom et al.				
	8	US-6,170,013 B1	01-02-2001	Murata				
	9	US-5,870,537	02-09-1999	Kern et al.				
	10	US-5,506,984	04-09-1996	Miller				
	11	US-6,256,673 B1	07-03-2001	Gayman				
	12	US-5,692,155	11-25-1997	Iskiyan et al				
	13	US-5,978,577	11-02-1999	Rierden et al.				
	14	US-6,438,125 B1	08-20-2002	Brothers				
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	FOREIGN PATENT DOCUMENTS										
Examiner Cite Foreign Patent Document Publication Date Name of Ratentee Cr.											
Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T¢					
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Considered

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

^{&#}x27;Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 2 of 2

	Co	mplete if Known	
	Application Number	09/753221	
F	Filing Date	December 29, 2000	
	First Named Inventor	Coates et al	
	Art Unit	2142	
	Examiner Name	Prieto, Beatriz	
	Attorney Docket Number	42390P19172X3	

NON PATENT LITERATURE DOCUMENTS								
Examiner Cite No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
	15	Mogul, RFC0917: Internet subnets, 1984, ACM, pages 1-17						
	16	Microsoft Press, Computer Dictionary Third Edition, 1997, page 337	-					

Examiner	Date	
Signature	Considered	

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

^{&#}x27;Applicant's unique citation designation number. 'Applicant is to place a check mark here if English language Translation is attached.

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Application No. 09/753221 TRANSMITTAL FORM December 29, 2000 Filing Date (to be used for all correspondence after initial filing) First Named Inventor Coates et al Art Unit 2142 Prieto, Beatriz **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 42390P19172X3 (check all that apply) **ENCLOSURES** After Allowance Communication to Group Fee Transmittal Form Drawing(s) Appeal Communication to Board of Appeals and Interferences Fee Attached Licensing-related Papers Appeal Communication to Group Petition Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition to Convert a Provisional Application After Final **Proprietary Information** Affidavits/declaration(s) Power of Attorney, Revocation Change of Correspondence Address Status Letter **Extension of Time Request** Other Enclosure(s) Terminal Disclaimer (please identify below): Express Abandonment Request Request for Refund Copies of 16 Cited refs Information Disclosure Statement Return postcard PTO/SB/08 CD, Number of CD(s) Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Remarks Basic Filing Fee Declaration/POA Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Gregory D. Caldwell, Reg. No. 39,926 Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature January 31, 2005 Date CERTIFICATE OF MAILING/TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Typed or printed name Kristin A. Morrow

January 31, 2005

Date

Signature

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TRANSMITTAL for FY 2005 Patent fees are subject to annual revision.

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Applicant claims small entity status.	See 37 CFR 1.27.	
TOTAL AMOUNT OF PAYMENT	(\$)	0.0

Complete if Known					
Application Number 09/753221					
Filing Date	December 29, 2000				
First Named Inventor	Coates et al				
Examiner Name	Prieto, Beatriz				
Art Unit	2142				
Attorney Docket No.	42390P19172X3				

METHOD OF PAYMENT (check all that apply)										
□Check □Credit card □ Money Order □None □Other (please identify):										
Deposit A	Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP									
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge any additional fee(s) or underpayment of fee(s) Credit any overpayments under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.										
FEE CALCU	FEE CALCULATION									
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1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet.										
2053	130	2053 130 Non-English specification								
1251	120	2251	60	extension for reply within first month						
1252	450	2252	225	Extension for reply within second month						
1253	1,020	2253	510	Extension for reply within third month						
1254	1,590	2254	795	Extension for reply within fourth month						
1255	2,160	2255	1,080	Extension for reply within fifth month						
1401	500	2401	250	Notice of Appeal						
1402	500	2402	250	Filing a brief in support of an appeal						
1403	1,000	2403	500	Request for oral hearing						
1451	1,510	2451	1,510	Petition to institute a public use proceeding						
1460	130	2460	130	Petitions to the Commissioner						
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)						
1806	180	1806	180	Submission of Information Disclosure Stmt						
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))						
1810	790	2810		For each additional invention to be examined (37 CFR § 1.129(b						
Other t	fee (spe	cify) II	<u> </u>	o Fee	0.00					
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l		Gregory D. Caldwell	nem	Registration No. (Attorney/Agent)	39,926	Telephone	(503) 439-8778		
t	Signature		MUN			Date	01/31/05		